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Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

Michael Pierre Carlson, Lorin Evan Ullmann

For: **METHOD AND APPARATUS FOR MONITORING AND HANDLING EVENTS  
FOR A COLLECTION OF RELATED THREADS IN A DATA PROCESSING SYSTEM**

Enclosed are also:

- 29 Pages of Specification including an Abstract  
 6 Pages of Claims  
 8 Sheet(s) of Drawings  
 A Declaration and Power of Attorney  
 Form PTO 1595 and assignment of the invention to IBM Corporation

## CLAIMS AS FILED

FOR	Number Filed	Number Extra	Rate	Basic Fee (\$760)
Total Claims	28	-20 =	8 X \$ 18	= \$144.00
Independent Claims	6	-3 =	3 X \$ 78	= \$234.00
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Respectfully,  
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METHOD AND APPARATUS FOR MONITORING AND HANDLING EVENTS  
FOR A COLLECTION OF RELATED THREADS IN A DATA PROCESSING  
SYSTEM

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BACKGROUND OF THE INVENTION

**1. Technical Field:**

The present invention relates to an improved data processing system and in particular to a method and apparatus for multitasking in a data processing system. Still more particularly, the present invention relates to a method and apparatus for monitoring and handling events for a plurality of related threads in a data processing system.

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**2. Description of Related Art:**

Java™ is an object oriented programming language and environment focusing on defining data as objects and the methods that may be applied to those objects. Java supports only a single inheritance, meaning that each class can inherit from only one other class at any given time. Java also allows for the creation of totally abstract classes known as interfaces, which allow the defining of methods that may be shared with several classes without regard for how other classes are handling the methods. Java provides a mechanism to distribute software and extends the capabilities of a Web browser because programmers can write an applet once and the applet can be run on any Java enabled machine on the Web.

30 The Java virtual machine (JVM) is a virtual computer component that resides only in memory. The JVM allows

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Java programs to be executed on different platforms as opposed to only the one platform for which the code was compiled. Java programs are compiled for the JVM. In this manner Java is able to support applications for many types of data processing systems, which may contain a variety of central processing units and operating systems architectures. To enable a Java application to execute on different types of data processing systems, a compiler typically generates an architecture-neutral file format - the compiled code is executable on many processors, given the presence of the Java run time system. The Java compiler generates bytecode instructions that are non-specific to particular computer architectures. A bytecode is a machine independent code generated by the Java compiler and executed by a Java interpreter. A Java interpreter is a module in the JVM that alternatively decodes and executes a bytecode or bytecodes. These bytecode instructions are designed to be easy to interpret on any machine and easily translated on the fly into native machine code.

A development environment, such as the Java Development Kit (JDK) available from Sun Microsystems, Inc., may be used to build Java bytecode from Java language source code and libraries. This Java bytecode may be stored as a Java application or applet on a Web Server, where it can be downloaded over a network to a user's machine and executed on a local JVM.

JavaOS for Business is an Java operating system which allows java bytecode to execute in Java applications. Unlike typical client-server architectures, JavaOS for Business is network managed

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client to lower the cost of ownership and allow scaleable from high memory, fat clients computers to low memory, hand held devices or thin clients. JavaOS for Business is the first operating system largely implemented using 5 Java. Platform hardware specific functions still use some native compiled code, but a large percentage (approximately 80-95%) of operating system tasks that are implemented in Java byte interpreted code. JavaOS for Business has the only operating system print subsystem 10 implemented entirely in Java code. As a result the print subsystem can interact with lower level, platform specific subsystems such as the sending data to the network card or local ports, but is abstracted from low-level interaction (setup, initialization of devices, soft 15 or hard data errors, write/read retries, etc.).

One problem with the print subsystem in JavaOS for Business is that a print subsystem is unable to obtain information about events, which affect printing because other parts of the operating system do not implement 20 Operating System Events (OSEvent). Some subsystems use OSEvents to communicate state changes such as power management, server managed runtime system database data, devices such as audio volume, logon messages during booting, etc. OSEvent producers, consumers, enumerators 25 and buffers are used to implement this operating system messaging. In addition to this problem, many subsystems do not implement OSEvents to communicate state changes, the print subsystem needs to remain implemented in platform-independent java code, unaware of the network, 30 processor or system board printer attachment (parallel, serial, USB, etc).

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Thus, it would be advantageous to have an improved method and apparatus for monitoring and handling events for a print subsystem.

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**SUMMARY OF THE INVENTION**

A method in a data processing system for monitoring  
5 a plurality of related threads. The plurality of related  
threads is polled for status information. Responsive to  
receiving the status information, a determination is made  
as to whether a thread within a plurality of related  
threads is active. Responsive to an absence of a  
10 determination that a thread within the plurality of  
related threads is active, a cleanup processes is  
initiated for the thread based on the status information.

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## BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the  
5 invention are set forth in the appended claims. The  
invention itself, however, as well as a preferred mode of  
use, further objectives and advantages thereof, will best  
be understood by reference to the following detailed  
description of an illustrative embodiment when read in  
10 conjunction with the accompanying drawings, wherein:

**Figure 1** is a pictorial representation depicting a  
data processing system in which the present invention may  
be implemented in accordance with a preferred embodiment  
of the present invention;

15 **Figure 2** is a block diagram illustrating a data  
processing system in which the present invention may be  
implemented;

20 **Figure 3** is a block diagram of a printing system  
depicted in accordance with the preferred embodiment of  
the present invention;

**Figure 4** is a block diagram illustrating print codes  
placement in a client depicted in accordance with the  
preferred embodiment of the present invention;

25 **Figure 5** is a diagram illustrating a print job flow  
depicted in accordance with the preferred embodiment of  
the present invention;

**Figure 6** is a diagram illustrating the assignment of  
multiple jobs depicted in accordance with the preferred  
embodiment of the present invention;

30 **Figure 7** is a flowchart of a process for monitoring  
threads depicted in accordance of the preferred

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embodiment of the present invention;

**Figure 8** is a block diagram illustrating storage of status information depicted in accordance with a preferred embodiment of the present invention;

5       **Figure 9** is a block diagram illustrating a multiple print job system depicted in accordance with a preferred embodiment of the present invention;

10      **Figure 10** is a flowchart for queuing multiple print jobs depicted in accordance with a preferred embodiment  
10 of the present invention; and

15      **Figure 11** is a flowchart de-queuing a print job depicted in accordance with a preferred embodiment of the present invention.

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**DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT**

With reference now to the figures and in particular  
5 with reference to **Figure 1**, a pictorial representation of  
a data processing system in which the present invention  
may be implemented is depicted in accordance with a  
preferred embodiment of the present invention. A  
personal computer 100 is depicted which includes a system  
10 unit 110, a video display terminal 102, a keyboard 104,  
storage devices 108, which may include floppy drives and  
other types of permanent and removable storage media, and  
mouse 106. Additional input devices may be included with  
personal computer 100. Personal computer 100 can be  
15 implemented using any suitable computer, such as an IBM  
Aptiva™ computer, a product of International Business  
Machines Corporation, located in Armonk, New York.  
Although the depicted representation shows a personal  
computer, other embodiments of the present invention may  
20 be implemented in other types of data processing systems,  
such as network computers, Web based television set top  
boxes, Internet appliances, etc. Computer 100 also  
preferably includes a graphical user interface that may  
be implemented by means of systems software residing in  
25 computer readable media in operation within computer 100.

With reference now to **Figure 2**, a block diagram  
illustrates a data processing system in which the present  
invention may be implemented. Data processing system 200  
is an example of a computer, such as computer 100 in  
30 **Figure 1**, in which code or instructions implementing the  
processes of the present invention may be located. Data

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processing system 200 employs a peripheral component interconnect (PCI) local bus architecture. Although the depicted example employs a PCI bus, other bus architectures such as Micro Channel and ISA may be used.

- 5 Processor 202 and main memory 204 are connected to PCI local bus 206 through PCI bridge 208. PCI bridge 208 also may include an integrated memory controller and cache memory for processor 202. Additional connections to PCI local bus 206 may be made through direct component
- 10 interconnection or through add-in boards. In the depicted example, local area network (LAN) adapter 210, SCSI host bus adapter 212, and expansion bus interface 214 are connected to PCI local bus 206 by direct component connection. In contrast, audio adapter 216, graphics
- 15 adapter 218, and audio/video adapter 219 are connected to PCI local bus 206 by add-in boards inserted into expansion slots. Expansion bus interface 214 provides a connection for a keyboard and mouse adapter 220, modem 222, and additional memory 224. SCSI host bus adapter 212 provides
- 20 a connection for hard disk drive 226, tape drive 228, and CD-ROM drive 230. Typical PCI local bus implementations will support three or four PCI expansion slots or add-in connectors.

- An operating system runs on processor 202 and is used
- 25 to coordinate and provide control of various components within data processing system 200 in **Figure 2**. The operating system may be a commercially available operating system such as OS/2, which is available from International Business Machines Corporation. "OS/2" is a trademark of
- 30 International Business Machines Corporation. An object

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oriented programming system such as Java may run in conjunction with the operating system and provides calls to the operating system from Java programs or applications executing on data processing system 200. "Java" is a  
5 trademark of Sun Microsystems, Inc. Instructions for the operating system, the object-oriented operating system, and applications or programs are located on storage devices, such as hard disk drive 226, and may be loaded into main memory 204 for execution by processor 202.

10 Those of ordinary skill in the art will appreciate that the hardware in **Figure 2** may vary depending on the implementation. Other internal hardware or peripheral devices, such as flash ROM (or equivalent nonvolatile memory) or optical disk drives and the like, may be used  
15 in addition to or in place of the hardware depicted in **Figure 2**. Also, the processes of the present invention may be applied to a multiprocessor data processing system.

For example, data processing system 200, if  
20 optionally configured as a network computer, may not include SCSI host bus adapter 212, hard disk drive 226, tape drive 228, and CD-ROM 230, as noted by dotted line 232 in **Figure 2** denoting optional inclusion. In that case, the computer, to be properly called a client  
25 computer, must include some type of network communication interface, such as LAN adapter 210, modem 222, or the like. As another example, data processing system 200 may be a stand-alone system configured to be bootable without relying on some type of network communication interface,  
30 whether or not data processing system 200 comprises some type of network communication interface. As a further

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example, data processing system 200 may be a Personal Digital Assistant (PDA) device which is configured with ROM and/or flash ROM in order to provide non-volatile memory for storing operating system files and/or  
5 user-generated data.

The depicted example in **Figure 2** and above-described examples are not meant to imply architectural limitations.

The present invention provides a method, apparatus,  
10 and instructions for handling call backs on system events for a collection of related threads, such as those found in a print subsystem for JavaOS for Business. The present invention includes an independent monitor thread, which is employed to watch threads executing processes,  
15 such as those used to print a document. These threads are also referred to as "worker" threads. A thread, also referred to as a Light Weight Process (LWP) is a basic unit of Central Processing Unit (CPU) utilization. A thread typically includes a program counter, a register set, and a stack space. A thread will share with its  
20 pure threads its code section, data section, and operating systems resources, such as open files and signal, collectively known as a task. Multi-threaded processes use fewer resources than multiple redundant processes. As a result, multi-threading has become more  
25 and more common. Threads operate in many respects in the same manner as processes. A thread can have one of several states including, for example, ready, blocked, running, or terminated. Threads will share a CPU and  
30 only one thread is active (running). A thread within a process executes sequentially.

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The monitor thread is used to detect an infinite wait caused by an error in which the local print hardware fails, but provides no indication of the failure. For example, the print hardware may fail upon a write to the 5 hardware. Additionally, a monitor thread is employed to detect an unresponsive remote system during a one way communication, such as the communication that occurs during remote LPR printing.

The present invention also provides a mechanism to 10 obtain information about the status of a thread when an exception or error occurs. Through this additional information, a mechanism is provided to cleanup system resources after an error event or job cancellation. In addition, performance information about threads may be 15 obtained from status and other information collected during execution. Through using multiple threads the JavaOS for Business may be extend to printing to a queued multiple print job system from a single print job.

Rather than implementing events, or methods (i.e., 20 getStatus) into different parts that the print system uses, a centralized monitor thread is used to store threads associated with each print job and gather status information. Specifically, a thread watcher monitor class is created in which a thread is used to monitor a 25 set of threads and to handle threads with inactivity greater than a threshold and threads for which exceptions or errors have occurred. The thread watcher class will also include methods to retrieve thread status as well as methods to be called when time-outs occur. The methods 30 to retrieve thread status include: Isdead, Isalive, Istimedout. Java defines a method in the class

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java.lang.Thread called isAlive. At this level, it provides no real useful information. The implementation simply checks whether the thread has been started and if it is not yet dead. It provides no intelligent tests to see that a thread is active. This method could then be extended to do intelligent tests on the question "isAlive" for this particular thread. In the depicted implementation, printers are involved and thus checks on the parallel port are made. The parallel port provides some public methods that may be used to see if the port is active. The method isTimedOut can be implemented by subtracting the start time of the thread from the current time and comparing it to the time out value set when the thread was created. This currently is not a standard to the Thread class in java. The method getTimeAlive is also not part of the Java thread class. It is implemented by subtracting the start time of the thread from the current time. A static (always available) cleanup class name storage is provided per thread or generically that has a cleanup method that is invoked when a timeout occurs. The status information is stored in string data while the time when the thread was created is stored in integer data. Actions to be performed as a result of timeout or error, are stored in string data.

25 A PlatformPrintControl currently found in Java operating system creates and registers new classes PrintTrafficManager, Intelligent ThreadWatcher and PrintJobsStatusEntry.

PrintJobStatusEntry allocates string data from job information such as, for example, name, source, status, diskFileName, jobDescription setter and getter methods

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for each string data. The class print traffic manager (PTM) initializes active intelligent thread monitor, stores data getter and setter methods for all vector data, and allocates vector data for print job (print jobs 5 queued cases), vector data for threads (collection of thread vector array). The print traffic manager also allocates vector data for timeout (collection of each thread's timeout), allocates vector data for startTime (collection of each threads start time), and initializes 10 static Cleanup class (with generic cleanup method with action to call upon an error).

The Cleanup class gathers active thread information from print traffic manager, kills zombie threads (inactive, but allocated threads), recovers from error 15 state (reset resources), and suspends/prevents unnecessary work on error (kill send thread on error). The intelligent thread monitor determines whether the thread is alive, getCurrentTime, determines whether the thread has TimedOut, obtains the Cleanup class name from 20 print traffic manager. This thread watcher monitor class can be stored as part of another class to be used as a collection of threads that relate to a particular process. For example, a collection of threads can be used to define a print job.

25 With reference now to **Figure 3**, a block diagram of a printing system is depicted in accordance with a preferred embodiment of the present invention. In the depicted examples, the processes of the present invention are implemented in a Java operating system.

30 Java operating system **300** contains an application stack **302** The application stack consists of a Java

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software application executing on JavaOS for Business to provide a desktop GUI for the operating system, an Internet browser or applet executing with a browser (e.g. HotJava, Lotus Notes, etc). Application stack **302** 5 may send print jobs to PlatformPrintControl **304**, which is a platform dependent implementation of a print control in Java that oversees the creating and execution of a print job. Application stack **302** also may send graphics data to content producers **306**, which contains classes that 10 emit their content in a print specific language, such as, but not limited to Postscript, PCL5, or PCL3.

Print graphical user interface **308** is a (GUI), which allows a user to make choices regarding location quality, and other specifics for an individual print job. A 15 similar GUI is displayed when printing from any type of Windows system. The components depicted herein include components normally found in JavaOS for Business, which is available from Sun Microsystems, Inc. Also found within Java operating system **300** is a PlatformPrintDialog 20 **310**, which is a dialogue used to handle interaction with the user. This dialogue may receive interaction from a user through print graphical user interface **308**.

PlatformPrintJob Properties **312** interacts with other objects within the printing system. Printerdatabase **314** 25 is a class within Java operating system **300**, which servers as a central registry point for print services.

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JavaOS system database (JSD) **316** holds configuration and registry information for users, machines, etc. Print services **318** is an interface to print service and may be used to print to various printers attached to the client, 5 a server, or elsewhere in a network. For example, Print services **318** may be attached to a local printer, employ Line Printer Daemon Protocol (LPD), or LPD Streaming. The Berkeley versions of the Unix(tm) operating system provide line printer spooling with a collection of 10 programs: lpr (assign to queue), lpq (display the queue), lprm (remove from queue), and lpc (control the queue). These programs interact with an autonomous process called the line printer daemon. JavaOS for Business also provides the ability to send a print job using the lpr 15 protocol to a remote system which has an active lpd daemon. JavaOS for Business executes a lpd daemon which has the ability to accept an incoming lpr print jobs from a remote system. In this example, Java operating system **300** also includes content transports **320** which is used 20 for transporting content to various locations, such as a local parallel port or a local serial port. Further, content transports **320** also supports LPR and LPR Streaming. The LPR protocol requires the entire printer

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data to be stored in a file along with the total file size before any data can be sent to the remote LPD daemon. The LPR Streaming protocol allows for the data is "streamed" or sent in smaller data size to the remote 5 streaming lpd queue. Streaming has the main advantages of being faster since the receiving printer can process the commands while the job is being rendered on the remote printer and the elimination of large files (color large printer resolution create very large printer data 10 files). In accordance with the preferred embodiment of the present invention, a print traffic manager **322** is included within Java operating system **300**. Print traffic manager **322** may initialize an intelligent thread watcher monitor **324**. In the depicted examples, print traffic 15 manager **322** will initialize active intelligent thread monitoring. Further, print traffic manager **322** will store data retrieved from various threads. This component will provide get and set methods for all vector data. Print job status entry **326** is where print job 20 status information is stored during the various phases of printing. Configuration Server **328** interfaces with JSD **316**, print services **318**, and content transports **320** in Java operating system **300**.

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Print traffic manager **322**, Intelligent thread watcher monitor **324** and print job status entry **326** are the new components introduced into the printing system illustrated in **Figure 3**.

5 With reference now to **Figure 4**, a block diagram illustrating print codes placement in a client is depicted in accordance with a preferred embodiment of the present invention. This example, client **400** includes a print administrator configuration **402**, which is used to  
10 provide a user an interface to change or select various printer features. Within JVM **404**, a print subsystem **406** is employed to provide printing for Java applications. Various print dialogues **408** are employed to receive input from a user through print administrator configuration  
15 **402**. PrintJob Class **410** provides classes to oversee a print job. This print job class is found in a JDK toolkit in the depicted example. ContentProducers **412** is used to convert from Abstract Windows Toolkit (AWT) graphics to a printer language. AWT is a toolkit  
20 containing primitives for basic windowing functionality and is contained within a JVM. These primitives include such user-interface functionality as window and dialog box manipulation, text rendering, buttons, check box, and radio button creation and manipulation, as well as  
25 graphics primitives such as line drawing, color choice, etc. Virtually all more sophisticated graphics and user-interface tools are built on top of these AWT primitives.

Content producers **412** includes various components, 30 such as transport, PrintControl, Content Producers, Graphics, OutputStream, PrintJob, PrintService, and

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PrintJobStatusEntry in these examples. Content producers **412** creates printer ready data to send to Print Subsystem **414**. In particular, printer ready data is sent to system (hardware) specific parts of a print subsystem for a local port or network to which the client may be attached. In this manner, print job is then sent to printer hardware **416**. The sending of the printer ready data is specific to an operating system platform. The various threads that provide for printing in content producers **412** are monitored by Print Traffic Manager **418** through the use of an intelligent thread watcher monitor **420**. Intelligent thread watcher monitor **420** is the new component provided in print subsystem **406**.

With reference now to **Figure 5**, a diagram illustrating a print job flow is depicted in accordance with a preferred embodiment of the present invention. In block **500**, an application uses GetPrintJob, which is a method used to initiate printing in the JVM. In response to this command, the JVM creates a PlatformPrintControl, a PlatformPrintDialog, a PlatfromPrintJob, a PrinterDatabase (if not previously created), and a print traffic manager (if not previously created). The print traffic manager is a new class, which creates a thread used to monitor the execution of other threads performing print processes in block **502**. In block **504**, the print traffic manager initiates a thread watcher monitor, which in this example monitors worker threads 1 through N. In **Figure 5**, the thread watcher monitor monitors the different phases of the print job and records status information as the print job progresses.

In block **506**, a print job status entry is created to

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store the status of various print jobs being processed by the worker threads. In block **508**, a print GUI is displayed to user, which queries the user for available printer. In this example, worker thread 1 is registered 5 to the GUI in the print traffic manager. This status is seen in block **510** in which the status for worker thread 1 is set equal to GUI. Entries within PrintJobStatusEntry are used to update changes in status for various threads. Potential problems during this portion of the print job 10 include for example, no printers are installed or that the user is not allowed to print. If a problem such as that occurs and worker thread 1 becomes inactive, cleanup may be performed through thread using the status information recorded in print job status entry.

15 In block **512**, the user has selected to print a particular document resulting in a print job being created with the user choices. A render thread is registered with the print traffic manager. Additionally, in block **512**, the AWT graphics are rendered into printer 20 language commands. In this example, the render thread is identified as worker thread 2. The status of worker thread 2 is set equal to render in block **514**. Some potential problems that may occur with this portion of the print process include, for example, a problem with 25 application code, a lack of disk space for the print job, or a read/write failure to the server when the print job is a remote print job.

Next, in block **516**, transport of data occurs based on user choices for the printer. These choices, may be, 30 for example, to a local printer or to a network printer. In this example, the send data thread is registered with

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the print traffic manager in block **516**. Worker thread 3 is the worker thread identified with the send data thread and has its status set equal to openPrinter in block **518**. This status for worker thread 3 indicates that the thread  
5 is initializing communications with the printer.

Potential problems for transport or sending data include an inability to open a port, the printer being out of paper, the printer being off-line, or the network being down if the print job is to a remote printer.

10        In block **520**, data is sent to the printer, and the send data thread is registered with the print traffic manager as worker thread 4. Additionally, the status of this thread is set equal to send data in block **522**. Potential problems with sending data to a printer include  
15 read/write errors, port time out, buffer overflow, the printer being out of paper, the network going down if the printer is a remote printer. If the print job completes, the status is set equal to job complete as shown in block  
**524**.

20        In block **526**, memory resources are cleaned up upon completion of the print job or upon the occurrence of an error. In this case, remaining threads are killed and the status is set equal to cleanup done. Part of the cleanup includes closing output streams, open files,  
25 memory sources, and port handles. Additionally, the status dialog is then killed.

In the depicted example, the type of cleanup that occurs in block **526** depends on the status stored for the particular thread. The following are examples of  
30 different methods used in cleanup based on the status for a thread: for status equal to completeOK, a call is made

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to a method completeOKCleanup; for a status equal to render, a call is made to a method renderCleanup; for a status equal to openPriner, a call is made to a method openprinterCleanup; for a status equal to sendData, a  
5 call is made to a method sendataCleanup; and for a status equal to GUI, a call is made to a method GUICleanup. In this example, if the status is not one of the ones listed above, a call is made to a method GenericStateCleanup.  
10 After cleanup occurs execution is returned to the application in block **528**.

The processes occurring in block **524** occur whether the process of printing has completed or whether an error has occurred. In the case when an error occurs, the status of a particular thread is used to identify the  
15 necessary procedures to kill the thread and cleanup various resources. In **Figure 5**, the print traffic manager obtained status information from various threads during the printing process. The status of the threads are stored in PrintStatusEntry **506**. In the depicted  
20 examples, each thread performed a different portion of the print job. Alternatively, the same thread may perform all of the phases of the print job shown in **Figure 5**.

With reference now to **Figure 6**, a diagram  
25 illustrating the assignment of multiple jobs is depicted in accordance with a preferred embodiment of the present invention. In this example, jobs 1-N are cued in a print traffic manager. Each of the print jobs is registered with a single worker threads in the set 1-N, which are  
30 the threads involved in handling the print job.  
Alternatively, in the instance in which each thread

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performs all of the tasks of the print job, each job may be assigned a different thread. In this manner, a queued multiple print job system may be provided.

With reference now to **Figure 7**, a flowchart of a process for monitoring threads is depicted in accordance with a preferred embodiment of the present invention. These processes are implemented in an intelligent thread watcher monitor. These processes are performed for each thread in a current pool of worker threads, such as those illustrated in **Figure 6**.

The process begins by determining whether the thread is alive (step 700). If the thread is alive, then a determination is made as to whether a ThreadTimedOut has occurred (step 702). If a ThreadTimedOut has not occurred, then the thread count is incremented (step 704), with the process then returning to step 700. If the thread is not alive in step 700 or a ThreadTimedOut has occurred in step 702, then the thread status data is obtained for thread N (step 706). Thereafter, a cleanup class name is obtained from the printer traffic manager (step 708).

Next, cleanup activities are performed for thread N based on the cleanup class name obtained from the printer traffic manager (step 710). These cleanup activities are performed based on the status of the thread. Depending on the status of the thread, a method for cleaning up is called. Examples of methods that may be called are illustrated in block 526 in **Figure 5**. Thereafter, the status of thread N is changed to done cleanup (step 710) with the process then returning to step 704.

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Java defines a method in the class `java.lang.Thread` called `isAlive`. At this level it provides no real useful information. The implementation simply checks whether the thread has been started and if it is not yet dead.

5 It provides no intelligent tests to see that a thread is active. This method could be extended to do intelligent tests on the question "isAlive" for this particular thread. In the depicted example, printers are involved and the parallel port is checked. The parallel port

10 provides some public methods that may be used to see if the port is active.

The method `isTimedOut` can be implemented by subtracting the start time of the thread from the current time and comparing it to the timeout value set when the

15 thread was created. This currently is not a standard to the `Thread` class in java. The method `getTimeAlive` is also not part of the Java thread class. It is implemented by subtracting the start time of the thread from the current time.

20 In order for cleanup to be run correctly, the cleanup thread must know the state of the worker thread. In order to get this information, the cleanup thread uses the `PrintJobStatusEntry`, which has been continuously updated as the print job has progresses. Based on the

25 status of the worker thread, the cleanup thread will take the appropriate clean up action.

Cleanup activities vary depending on this state. If the worker thread was in the render state, then the clean up thread will be responsible for cleaning up memory and

30 making sure the control returns to the user application. If the worker thread was in the process of sending data

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to the printer, then the cleanup thread will do the above plus reset the printer. The reset is performed to ensure that the printer has been reset to a state where it can again receive print jobs. Finally, the worker thread must  
5 be removed from the pool of watched threads.

Once cleanup is complete, the monitor thread will remove the print job and all associate worker threads from the print job traffic manager. This is done using standard java thread methods. Finally the worker thread  
10 will exit indicating to the JVM that it is finished.

With reference now to **Figure 8**, a block diagram illustrating storage of status information is depicted in accordance with a preferred embodiment of the present invention. In this example, a thread data storage **800**  
15 stores status information for various threads. Thread data storage **800** is part of a class that includes set and get methods for storing and obtaining information, such as, times, sizes, and names. Times and sizes are integer data while names are string data. In this example,  
20 worker thread 1 has a status set equal to GUI in block **802**. Start time and end time for this status is sent to thread data storage **800**. In block **804**, worker thread 2 has a status set equal to render. The start and end time for this particular status is sent to thread data storage  
25 **800**. Worker thread 3 in block **806** has a status of openPrinter in which start and end times for this particular status are sent to thread data storage **800**. Start and end times for the status sendData for worker thread 4 in block **808** are sent to thread data storage  
30 **800**. In block **810**, printerInfo class sends size and name data regarding the print job to thread data storage **800**.

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JSD system database also provides performance such as buffer sizes or printer name data to thread data storage 800.

With reference now to **Figure 9**, a block diagram illustrating a multiple print job system is depicted in accordance with a preferred embodiment of the present invention. In this example, print system 900 may be implemented with the monitoring and event handling system of the present invention. Print system 900 includes a print queue 902 and a print traffic manager 904. Other components may be present in print system 900, but are not shown to avoid obscuring the invention. In this example, print jobs 906-912 are present in print system 900. Print job status entries 914-920 are associated with print jobs 906-912, respectively. Print job status entries 914, 916, and 918 indicate that the print jobs for the threads have been rendered and queued. Print job status entry 920 indicates that the thread is sending a print job.

In this example, print job 906 is last print job while print job 912 is the active print job. Print job 906 is handled by the last thread in print traffic manager 904 while print job 912 is handled by the first thread in print traffic manager 904.

With reference now to **Figure 10**, a flowchart for queuing multiple print jobs is depicted in accordance with a preferred embodiment of the present invention. The process in **Figure 10** may be implemented in print system 900 in **Figure 9** to queue multiple print jobs.

The process begins by a user starting a print job

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(step 1000). A print job N is created in a vector queue for the print job (step 1002). Thereafter, a worker thread stores data for job N (step 1004). This data includes information about the local spooler, the print 5 job status entry, the content producer, and the transport associated with the job. Data stored includes job name, print job destination, printer language, resolution, start time, timeout value, user name, etc. Thereafter, the thread status is set equal to queued (step 1006) with 10 the process terminating thereafter.

With reference now to **Figure 11**, a flowchart de-queuing a print job is depicted in accordance with a preferred embodiment of the present invention. The processes in **Figure 11** may be implemented in print system 15 900 in **Figure 9** to de-queue or remove print jobs.

The process begins by determining whether any jobs are stored in the queue vector (step 1100). If no jobs are present in the queue vector, the process returns to step 1100. If jobs are present in the queue vector, the 20 next job, job N, is obtained (step 1102). The process then obtains the data stored for job N (step 1104). This data obtained includes the local spooler, the print job status entry, the content producer, and the transport. Thereafter, the job is printed (step 1106). The steps 25 involved in printing the jobs include those illustrated in blocks 516 and 520 in **Figure 5**.

Afterwards, job N is ended and removed from the vector queue (step 1108) with the process then returning to step 1100 as described above.

30 It is important to note that while the present

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invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in  
5 the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media  
10 include recordable-type media such a floppy disc, a hard disk drive, a RAM, and CD-ROMs and transmission-type media such as digital and analog communications links.

The description of the present invention has been presented for purposes of illustration and description,  
15 but is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and variations will be apparent to those of ordinary skill in the art. Although the depicted examples illustrate the handling of print jobs, the processes of the present  
20 invention may be applied to other functions. For example, the processes may be applied to handling display of graphics or retrieval of documents. The embodiment was chosen and described in order to best explain the principles of the invention, the practical application,  
25 and to enable others of ordinary skill in the art to understand the invention for various embodiments with various modifications as are suited to the particular use contemplated.

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**CLAIMS:**

What is claimed is:

1. A method in a data processing system for monitoring  
5 a plurality of related threads, the method comprising the  
data processing system implemented steps of:
  - polling the plurality of related threads for status  
information;
  - responsive to receiving the status information,
  - 10 determining whether a thread within a plurality of  
related threads is active; and
  - responsive to an absence of a determination that a  
thread within the plurality of related threads is active,  
initiating cleanup processes for the thread based on the  
15 status information.
2. The method of claim 1 further comprising:
  - responsive to receiving the status information,  
storing the status information.
- 20 3. The method of claim 1, wherein the polling,  
determining, and initiating steps are performed by a  
single thread.
- 25 4. The method of claim 1, wherein the single thread is  
part of a first class
5. The method of claim 1, wherein the initiating step  
comprises:
  - 30 identifying active threads within the plurality of  
related threads;

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identifying inactive threads within the plurality of related threads; and  
terminating inactive threads.

5 6. The method of claim 1, wherein the step of terminating inactive threads includes:

resetting resources allocated to an identified inactive thread such that the resources are reallocatable.

10

7. The method of claim 1, wherein the plurality of related threads is a plurality of printer threads.

15 8. The method of claim 1, wherein the plurality of related threads is a plurality of video threads.

9. The method of claim 1, wherein the method is implemented in a virtual machine.

20 10. The method of claim 9, wherein the virtual machine is a Java virtual machine.

11. A method in a data processing system for monitoring a plurality of related threads, the method comprising the 25 data processing system implemented steps of:

polling the plurality of related threads for status information;

responsive to receiving the status information, determining whether an error has occurred in a thread 30 within a plurality of related threads is active; and responsive to an occurrence of inactivity in a

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thread within the plurality of related threads in which the inactivity is due to an event, initiating cleanup processes based on the status information.

5 12. The method of claim 11, wherein the event is a period of time.

13. The method of claim 11, wherein the event is an error.

10 14. A data processing system for monitoring a plurality of related threads, the data processing system comprising:

15 polling means for polling the plurality of related threads for status information;

determining means, responsive to receiving the status information, for determining whether a thread within a plurality of related threads is active; and

20 initiating means, responsive to an absence of a determination that a thread within the plurality of related threads is active, for initiating cleanup processes for the thread based on the status information.

25 15. The data processing system of claim 14 further comprising:

storing means, responsive to receiving the status information, for storing the status information.

30 16. The data processing system of claim 14, wherein the polling, determining, and initiating means are preformed by a single thread.

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17. The data processing system of claim 14, wherein the single thread is part of a first class

5 18. The data processing system of claim 14, wherein the initiating means comprises:

first identifying means for identifying active threads within the plurality of related threads;

10 second identifying means for identifying inactive threads within the plurality of related threads; and terminating means for terminating inactive threads.

19. The data processing system of claim 14, wherein the means of terminating inactive threads includes:

15 resetting means for resetting resources allocated to an identified inactive thread such that the resources are reallocatable.

20. The data processing system of claim 14, wherein the plurality of related threads is a plurality of printer threads.

25 21. The data processing system of claim 14, wherein the plurality of related threads is a plurality of video threads.

22. The data processing system of claim 14, wherein the data processing system is implemented in a virtual machine.

30

23. The data processing system of claim 22, wherein the

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virtual machine is a Java virtual machine.

24. A data processing system for monitoring a plurality of related threads, the data processing system comprising:

polling means for polling the plurality of related threads for status information;

determining means, responsive to receiving the status information, for determining whether an error has occurred in a thread within a plurality of related threads is active; and

initiating means, responsive to an occurrence of inactivity in a thread within the plurality of related threads in which the inactivity is due to an event, for initiating cleanup processes based on the status information.

25. The data processing system of claim 24, wherein the event is a period of time.

20

26. The data processing system of claim 24, wherein the event is an error.

medium for monitoring a plurality of related threads, the computer program product comprising:

first instructions for polling the plurality of related threads for status information;

second instructions for responsive to receiving the  
status information, determining whether a thread within a  
plurality of related threads is active; and

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third instructions for responsive to an absence of a determination that a thread within the plurality of related threads is active, initiating cleanup processes for the thread based on the status information.

5

28. A computer program product in a computer readable medium for monitoring a plurality of related threads, the computer program product comprising:

first instructions for polling the plurality of  
10 related threads for status information;

second instructions, responsive to receiving the status information, for determining whether an error has occurred in a thread within a plurality of related threads is active; and

15 third instructions, responsive to an occurrence of inactivity in a thread within the plurality of related threads in which the inactivity is due to an event, for initiating cleanup processes based on the status information.

20

CONFIDENTIAL - SECURITY INFORMATION

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### **ABSTRACT OF THE DISCLOSURE**

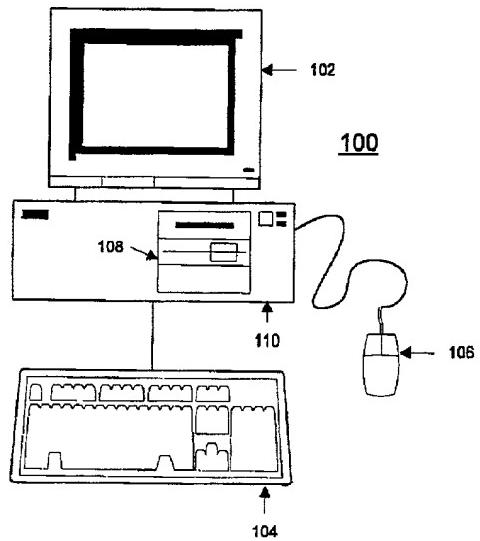
**METHOD AND APPARATUS FOR MONITORING AND HANDLING EVENTS  
5 FOR A COLLECTION OF RELATED THREADS IN A DATA PROCESSING  
SYSTEM**

The present invention provides a method in a data processing system for monitoring a plurality of related threads. The plurality of related threads is polled for status information. Responsive to receiving the status information, a determination is made as to whether a thread within a plurality of related threads is active. Responsive to an absence of a determination that a thread 15 within the plurality of related threads is active, a cleanup processes is initiated for the thread based on the status information.

20

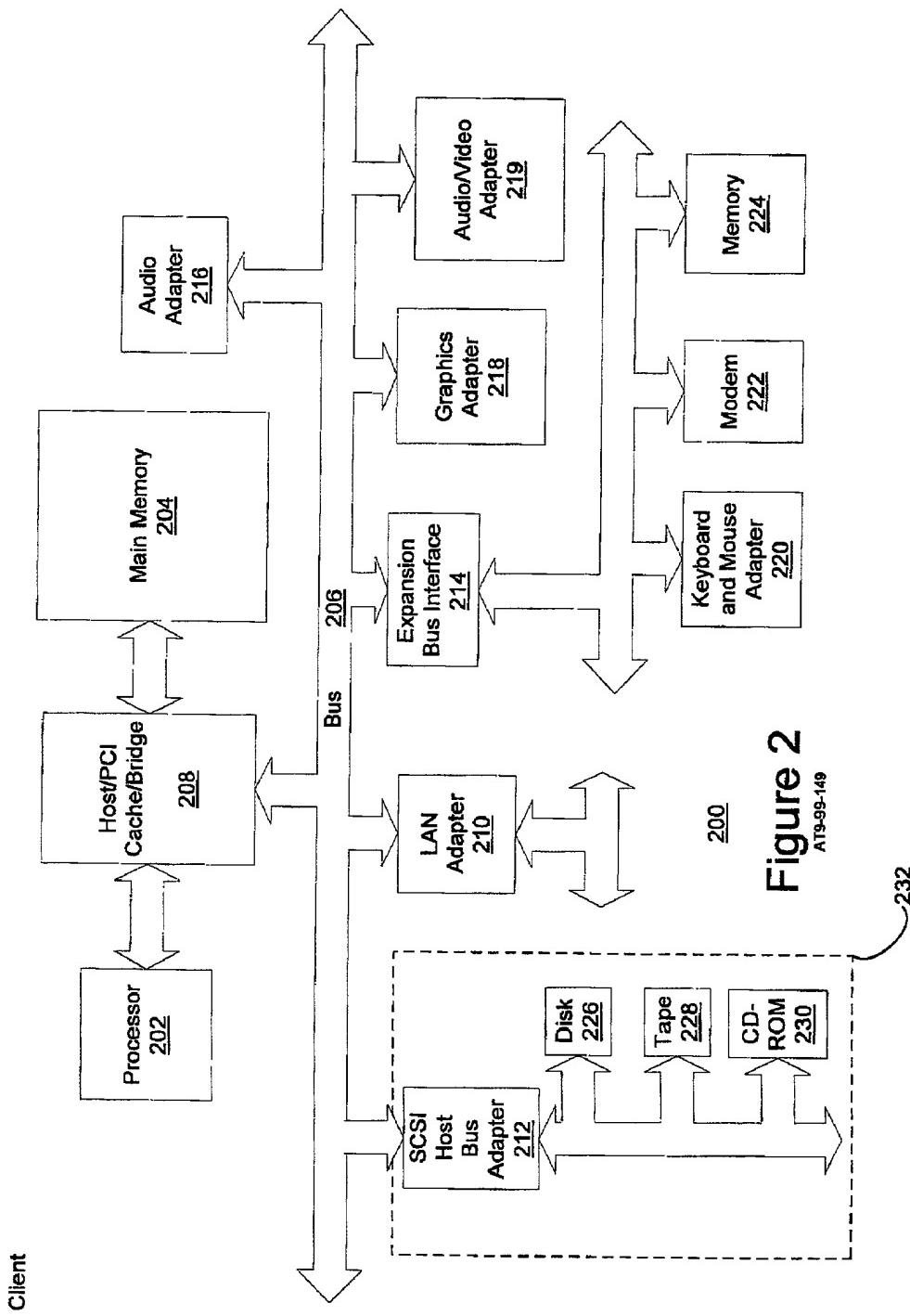
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**Figure 1**

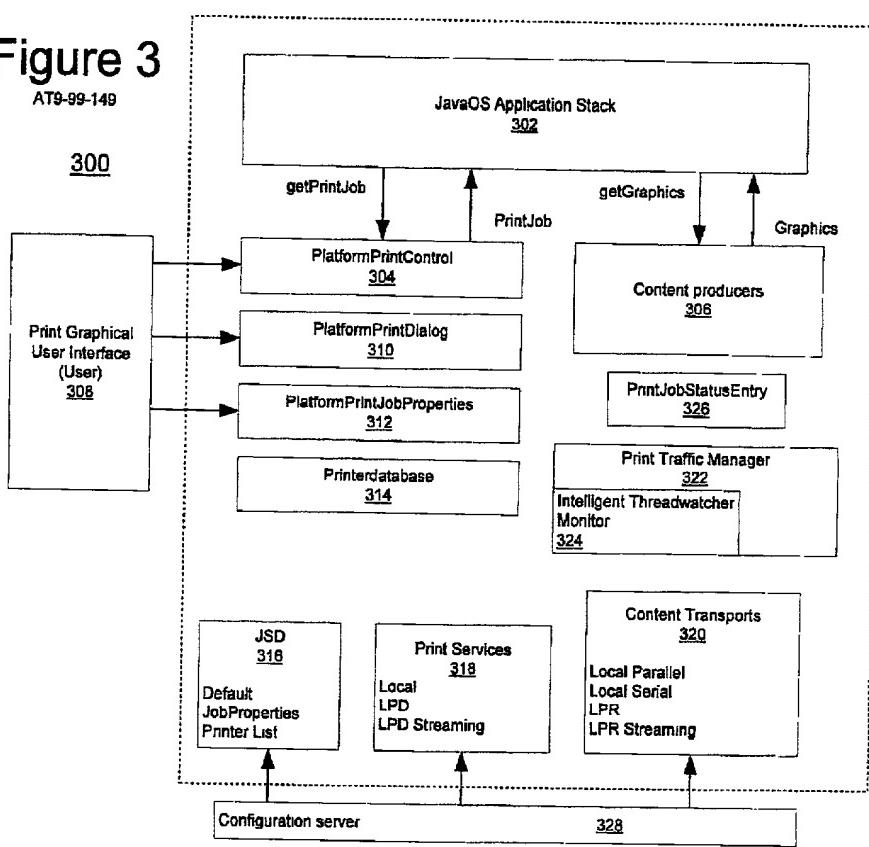
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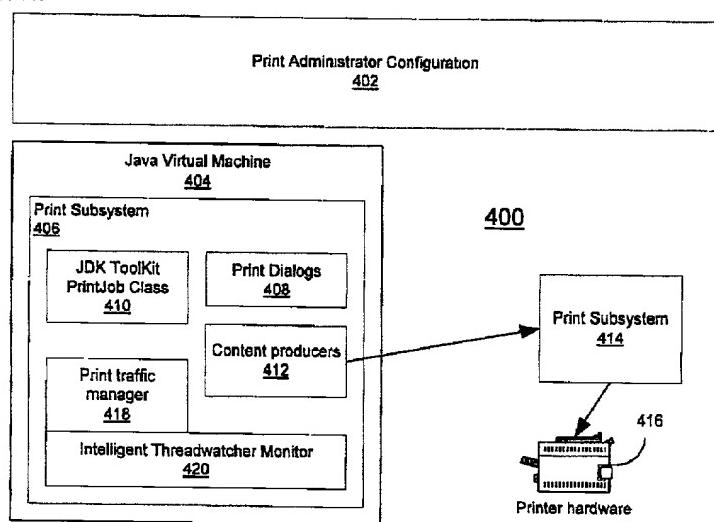
378

**Figure 3**

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**Figure 4**

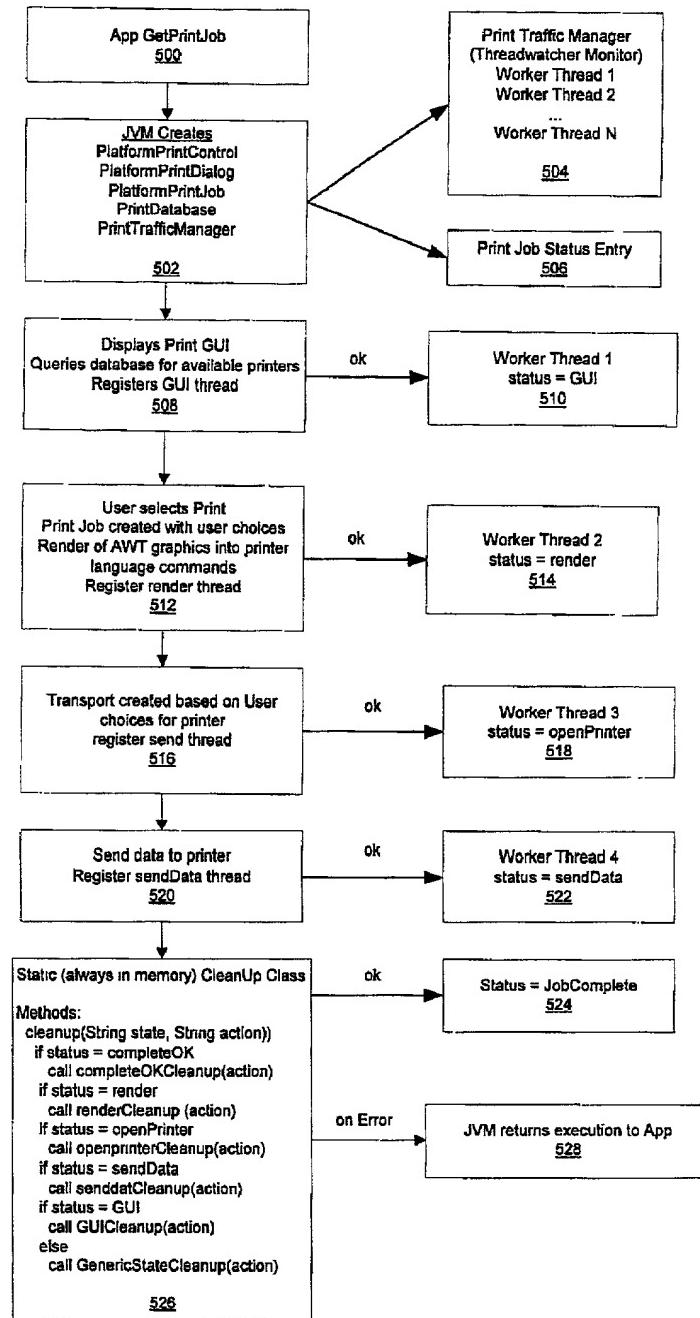
AT9-99-149



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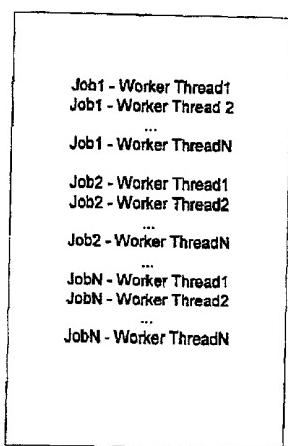
Figure 5

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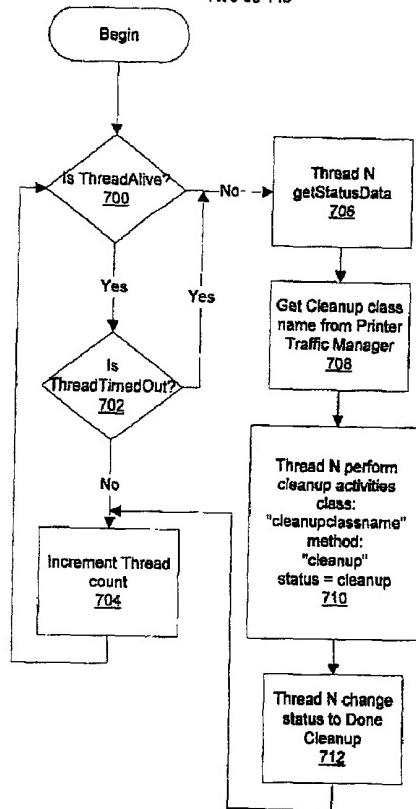


**Figure 6**

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**Figure 7**

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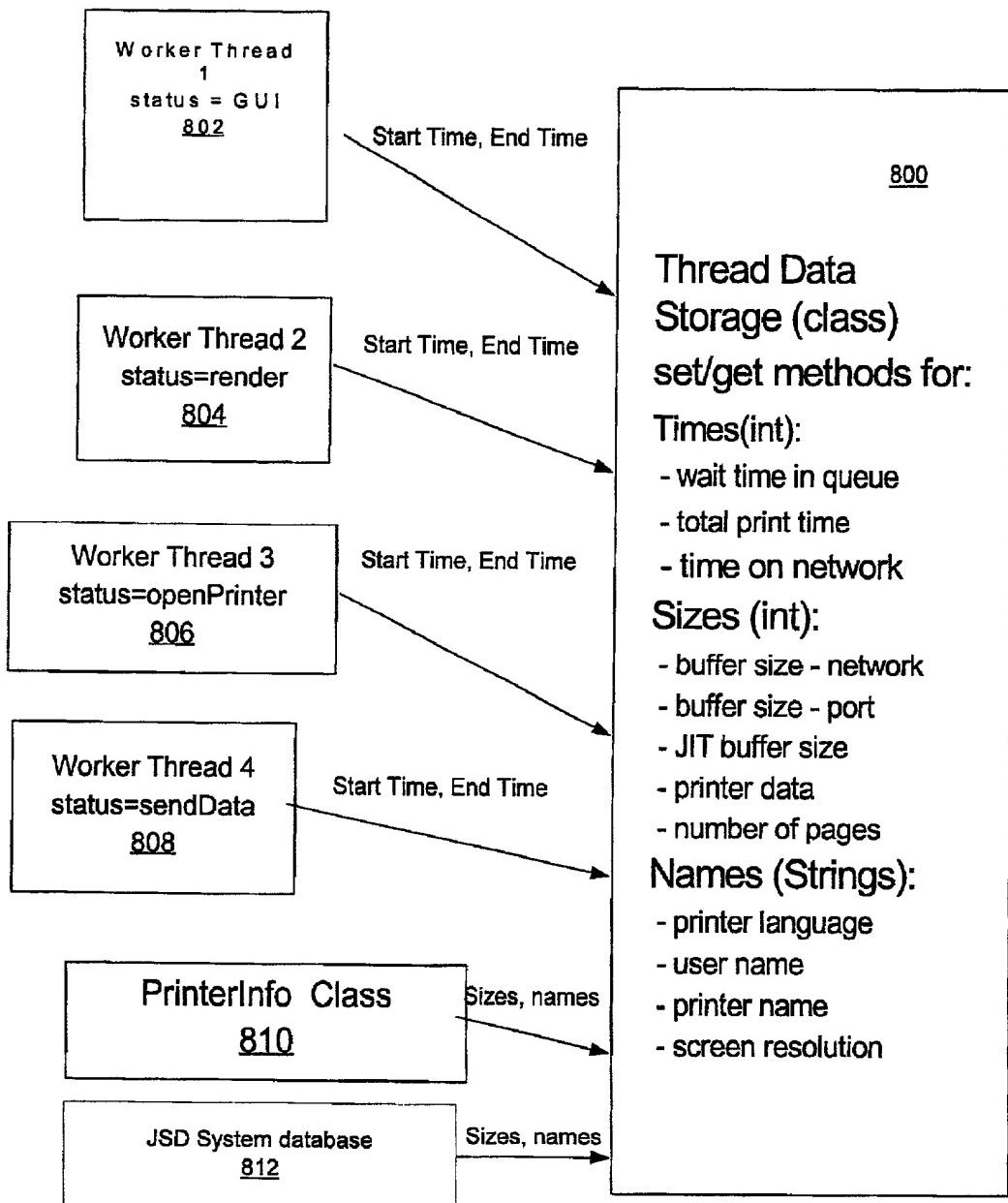
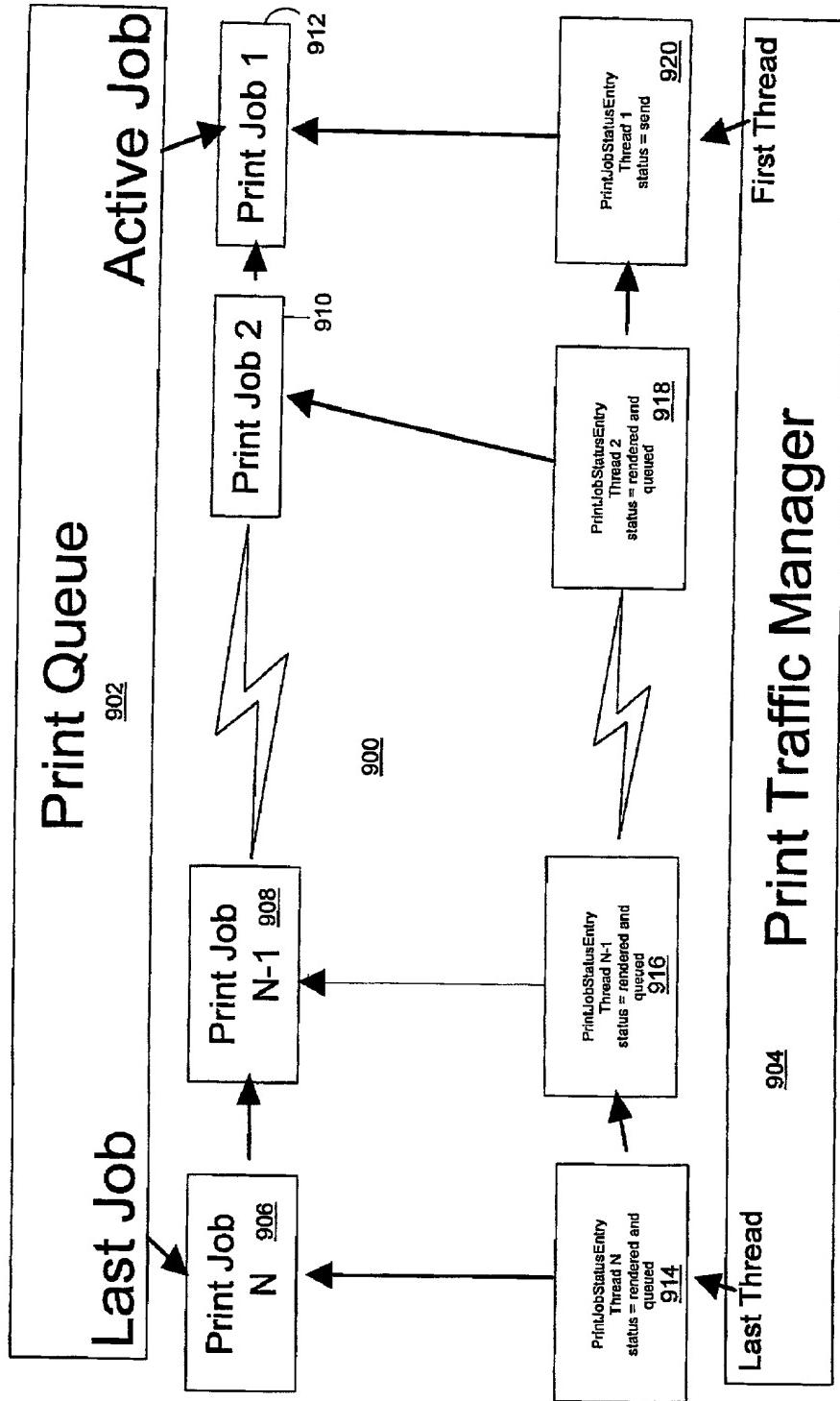


Figure 8

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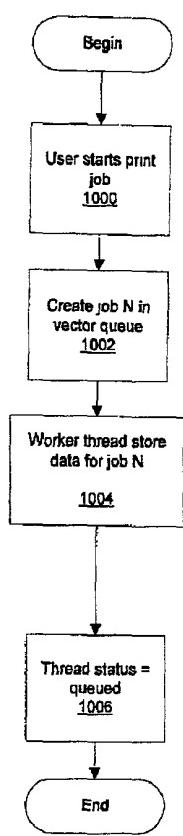
**Figure 9**  
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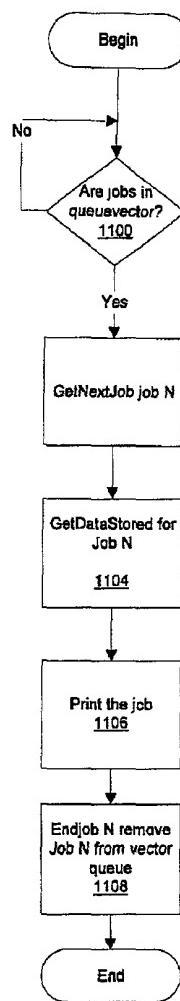
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**Figure 10**

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**Figure 11**

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DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND APPARATUS FOR MONITORING AND HANDLING EVENTS FOR A COLLECTION OF RELATED THREADS IN A DATA PROCESSING SYSTEM**

the specification of which (check one)

X is attached hereto.

— was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):	Priority Claimed	
_____	Yes <u>      </u> No <u>      </u>	
(Number)	(Country)	(Day/Month/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the

prior application and the national or PCT international filing date of this application:

(Application Serial #)

(Filing Date)

(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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DOCKET NUMBER: AT9-99-149

CITIZENSHIP: United States

POST OFFICE ADDRESS: SAME AS ABOVE